IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: SHIPP, Alexander

Atty. Ref.: 117-510

Serial No.: 10/500,952

Filed: September 3, 2004

Examiner:

For:

SYSTEM FOR AND METHOD OF DETECTING MALWARE IN MACROS

AND EXECUTABLE SCRIPTS

January 11, 2005

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Attention is invited to the attached copy of the International Search Reported mailed December 13, 2004 with respect to the counterpart International PCT Application No.

PCT/GB2004/000214 and a copy of each document cited therein. A completed Form PTO-1449 is also attached.

Official citation and consideration of all the attached documents is requested. Please return to the undersigned a copy of the attached PTO-1449 with the examiner's initials in the left column [MPEP §609] with the next communication.

I hereby certify that each item of infomation contained herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months from the filing date of this statement [see 37 C.F.R. § 1.97(e) (1)].

The filing of an Information Disclosure Statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability [37 C.F.R § 1.97(g) & (h)] or that no other material information exists.

SHIPP SN 10/500,952 Page 2

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 14-1140 .

Respectfully submitted,

Dated: January 11, 2005

Зу:

Arthur R. Crawford Reg. No. 25,327

PTO/SB 08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwith Reduction Act per 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/500,952			
Filing Date	Sept. 3, 2004			
First Named Inventor	SHIPP, Alexander	_		
Art Unit		_		
Examiner Name				
Attorney Docket Number	117-510	_		

U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	MM-DD-YYYY	Name of Pantentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Initials*	No'	Number-Kind Code ^{2(If known)}			
		US-2002/066024	05-30-2002	KWAN, Tony et al	abstract, paragraphs 0011-0016 paragraph 0027
		US-5 907 834	05-25-1999	SORKIN, Gregory Bret et al	column 2, line 45 to column 4, line 8
		US-			
	1	US-			

FOREIGN PATENT DOCUMENTS					
	Foreign Patent Document	Publication	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages Or Relevant Figures Appear	T _e
	Country Code ³ ·Number ⁴ ·Kind Code ³ (if known)	Date MM-DD-YYYY			
	WO 02/10888	02-07-2002	BEETZ Andrew; CONTENT TECHNOLGIES LTD	page 3, line 1 to page 4, line 30 page 8, line 21 to page 10 line 30 figure 2	

	l	
Examiner	Date	
Signature	Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\)Applicant's unique citation designation number (optional). \(^2\)See Kinds Code of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\)Enter office that issued the document, by the two-letter code (WIPO Standar St.3). \(^4\)For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^3\)Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\)Applicant is to place a check mark here if English language Translation is attached.

PTO/SB 08A (08-03)

Approved for use through 07/31 2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of the RADEMA 55, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO 10/500,952 Application Number INFORMATION DISCLOSURE Filing Date Sept. 3. 2004 STATEMENT BY APPLICANT First Named Inventor SHIPP, Alexander (Use as many sheets as necessary) Art Unit Examiner Name Sheet of Attorney Docket Number 117-510

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1						
		WEBER M ET AL: "A toolkit for detecting and analyzing malicious software" PROCEEDINGS OF THE 18th ANNUAL COMPUTER SECURITY APPLICATIONS CONFERE 9 December 2002 (12-09-2002) pages 423-431 XP010627054 paragraphs 0003, 03.1, 03.3, 03.4	NCE				
		VELDMAN F: "Combating Vruses Heuristically" VIRUS BULLETIN CONFERENCE, VIRUS BULLETIN LTD., ABINGTON, GB, September 1993 (09-1993) pages 67-75 XP000828110 paragraphs 03.2, 03.3					

		1	
ſ	Examiner	Date	
Ų	Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.